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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/477,093	01/04/2000	DANIEL W. GREEN	P04237	8705

7590 01/27/2003

JOHN L MAXIN
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801 EAST CAMPBELL ROAD
SUITE 525
RICHARDSON, TX 75081

EXAMINER	
HARKNESS, CHARLES A	

ART UNIT	PAPER NUMBER
2183	

DATE MAILED: 01/27/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

FN

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Office Action Summary	Application No.	Applicant(s)	
	09/477,093	GREEN, DANIEL W.	
	Examiner	Art Unit	
	Charles A Harkness	2183	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☒ Responsive to communication(s) filed on *09 December 2002* .

2a)☒ This action is **FINAL**. 2b)☐ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) *1-21* is/are pending in the application.

4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5)☐ Claim(s) _____ is/are allowed.

6)☒ Claim(s) *1-21* is/are rejected.

7)☐ Claim(s) _____ is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☐ The specification is objected to by the Examiner.

10)☐ The drawing(s) filed on _____ is/are: a)☐ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

11)☒ The proposed drawing correction filed on *9 December 2002* is: a)☒ approved b)☐ disapproved by the Examiner.

If approved, corrected drawings are required in reply to this Office action.

12)☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

13)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a)☐ All b)☐ Some * c)☐ None of:

1.☐ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____ .

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

14)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).

a) ☐ The translation of the foreign language provisional application has been received.

15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

1) ☐ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ .
4) ☐ Interview Summary (PTO-413) Paper No(s). _____ .
5) ☐ Notice of Informal Patent Application (PTO-152)
6) ☐ Other: .

DETAILED ACTION

1. The corrected or substitute drawings were received on 12/09/02. These drawings are accepted.

2. In view of Applicant's amendment to the title is accepted.

3. In view of Applicant's amendments to the specification, including the abstract, all objections to the specification and abstract have been withdrawn.

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

> A person shall be entitled to a patent unless –
>
> (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claims 1,9 and 17 are rejected under 35 U.S.C. 102(b) as being unpatentable over Witt U.S. Patent No. 6,141,747 (herein referred to as Witt). Referring to claims 1,9 and 17, Witt has taught a data processor comprising:

At least one pipelined integer execution unit (Witt column10 lines 66-67, column 11 lines 1-5 and column 10 lines 15-17);

A data cache (Witt column 3 line 62 and figure 1 reference number 44);

An instruction cache (Witt column 3 lines 55-56 and figure 1 reference number 14);

And a floating point unit comprising:

plurality of processing units capable of executing instructions that write operands to an external memory and capable of executing instructions that read operands from said external memory (Witt column 10 lines 66-67, column 11 lines 1-5, and figure 1 reference numbers 40A

and 40B). Where it is understood that Execution Core 0 (40A) and Execution Core 1 (40B) from figure 1, contain a plurality of floating point units.

6. An operand queue capable of storing a plurality of operands associated with one or more operations being processed in said floating point unit, wherein said operand queue stores a first operand being written to an external memory by a write instruction executed by a first one of said plurality of processing units (Witt column 12 lines 19-27 and figure 2 reference number 64) and wherein said operand queue supplies said first operand to a read instruction executed by a second one of said plurality of processing units subsequent to said execution of said write instruction (Witt column 12 lines 38-56 and column 2 lines 2-7).

Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

8. Claims 2-5,10-13 and 18-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Witt in view of Hinton et. al., U.S. Patent No. 5,721,855 (herein referred to as Hinton). Each limitation of claims 1, 9, and 17, from which these claims depend, has been taught in the rejection of claims 1, 9, and 17 above.

9. Referring to claims 2-3,10-11 and 18-19, Witt has not taught wherein said floating point unit further comprises a store conversion unit capable of converting operands in said plurality of floating point processing units from an internal format associated with said plurality of floating point processing units to an external format associated with said external memory. Nor has Witt

taught wherein said operand queue receives said first operand from said store conversion unit and transfers said first operand to an external memory. Hinton has taught the use of a store conversion unit capable of converting operands in a floating point processing unit from an internal format associated with said floating point processing unit to an external format associated with said external memory (Hinton column 35, lines 29-39 and figure 25, reference number 2515). Hinton also taught wherein said operand queue receives said first operand from said store conversion unit (Hinton column 35 lines 29-32, and figure 25 reference numbers 2515 and 2535). By using a store conversion unit, the operands can be stored in a standard format while the floating point unit executes the operands in a different format which is optimal for executing. In turn reducing the execution time of the operands, and thus reducing the amount of time required to execute a program. Therefore, it would have been obvious to a person of ordinary skill in the art at the time of the invention to add a store conversion unit that transfers the operands to said operand queue, so that the operands can be stored in memory in a different format than which they are executed in the floating point unit.

10. Referring to claims 4-5,12-13, and 20-21 Witt has not taught wherein said floating point unit further comprises a load conversion unit capable of converting incoming operands received from said external memory from an external format associated with said external memory to an internal format associated with said plurality of floating point processing units. Nor has Witt taught wherein operand queue receives said incoming operands from said external memory and transfers said incoming operands to said load conversion unit. Hinton has taught the use of a load conversion unit capable of converting incoming operands received from an external memory from an external format associated with an external memory to an internal format associated with

a floating point processing unit (Hinton column 35 lines 23-28 and figure 25 reference number 2525). Hinton also taught wherein operand queue transfers said incoming operands to said load conversion unit (Hinton figure 25 reference numbers 2535 and 2525). By using a load conversion unit, the floating point unit can access a operand that is in a standard format and then process the operand in its execution unit in a format that optimal for computations, which in turn can speed up the execution time of the operands thus reducing the amount of time required to execute a program. Therefore, it would have been obvious to a person of ordinary skill in the art at the time of the invention to add a load conversion unit that receives incoming operands from the operand queue, so that the floating point unit could execute the operands in a different format than which the operands are stored in memory.

11. Claims 6-8, and 14-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Witt and Hinton in view of Senter et. al., U.S. Patent No. 5,987,593 (herein referred to as Senter). Each limitation of claims 5 and 13, from which these claims depend, has been taught in the rejection of claims 5 and 13 above.

12. Referring to claims 6-7 and 14-15, the combination of Witt and Hinton has not taught wherein data in said external memory is accessed in groups of N bytes and wherein said floating point unit further comprises at least one aligner capable of receiving a first incoming operand that is misaligned with respect to a boundary between a first N byte group and a second N byte group and aligning said first incoming operand. Nor has the combination of Witt and Hinton taught wherein said operand queue receives said aligned first incoming operand from said at least one aligner. Senter has taught wherein data in said external memory is accessed in groups of N bytes and wherein said floating point unit further comprises at least one aligner capable of

receiving a first incoming operand that is misaligned with respect to a boundary between a first N byte group and a second N byte group and aligning said first incoming operand (Senter column 15 lines 17-64). By aligning the data as it comes into the operand queue, the operand is in proper format to be executed by the floating point execution unit. This allows for operands to be accessed where the data crosses a page boundary without requiring two caches accesses for one load (Senter column 15 lines 31-32). Since one cache access is eliminated the time is reduced for a load instruction, thus reducing the amount of time to execute a program. Therefore, it would have been obvious to a person of ordinary skill in the art at the time of the invention to use an aligner to align unaligned operands and transferring the results to the operand queue.

13. Referring to claims 8 and 16, the combination of Witt and Hinton has not taught wherein said at least one aligner sets at least one bit in said operand queue to indicate that said aligned first incoming operand is valid. Senter has taught setting at least one bit to indicate that an address is valid (Senter column 9 lines 56-58). By having the aligner set at least one bit in the operand queue in which an operand is stored, the execution unit can check to see if the operand is ready to be executed by checking said at least one bit. This will keep the execution unit from using an invalid operand, which will keep the execution unit from repeating an instruction and thus reducing the amount of time spent on an instruction, which reduces the time spent executing a program. It would have been obvious to one of ordinary skill in the art at the time of the invention that at least one bit could have been used to show the validity of the operand in the same manner as Senter used at least one bit to show the validity of the address. Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to have an

aligner set at least one bit to indicate the validity of an operand in an operand queue to keep the execution unit from using an invalid operand.

14. The rejections are respectfully maintained and incorporated by reference as set forth in the last Office Action, mailed 8/27/02, paper number 5.

Response to Arguments

15. Applicant's arguments filed 12/09/02, paper number 7, have been fully considered but they are not persuasive.

16. In the remarks, Applicant argues in essence that:

"Independent claims 1,9,17 each recite an operand queue in a floating point unit...Witt teaches that execution cores 40A and 40B may including floating point units, but teaches...as being outside execution core 40A, within load store unit 42."

17. This is not found persuasive. Applicant is correct that Witt teaches an operand queue outside of the execution cores 40A and 40B. However, Applicant will recognize that the execution core is only a part of the entire unit that makes up the floating-point unit. If the execution cores 40 are operating on floating-point operations then the load/store 42 is loading and storing floating-point operands, as well as the register files 38 containing floating-point values and so on. The execution core is used only to execute or process the arithmetic and logical operations of the floating-point instructions, or operations. But a floating-point unit consists of more than just the execution core. Thus the entire unit in figure 1 is a floating-point unit. Just because Witt does not give figure 1 the title of "floating-point unit" does not mean that figure 1 is not actually a floating-point unit that has the functionality of a floating-point unit.

18. In the remarks, Applicant argues in essence that:

"In addition, independent claims 1, 9 and 17 each also recite supplying a first operand from a first floating point processing unit within a floating point unit...to a second floating point processing unit with the floating point unit. Such a feature is not shown or suggest by the cited reference."

19. This is not found persuasive. Execution cores 40A-40B use the same load/store unit 42 and therefore use the same operand queue. Therefore, execution core 40A would store an operand in the queue in the load/store unit 42 when it processes a store instruction. Then, when execution unit is processing a load instruction that loads from the same memory location that the store instruction was storing to, the operand would be send from the operand queue to the execution core 40B. This operation is inherent in the invention of Witt and would have been obvious to one of ordinary skill in the art at the time of the invention.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Charles A Harkness whose telephone number is 703-305-7579. The examiner can normally be reached on 8:00 A.M. – 5:00 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eddie Chan can be reached on 703-305-9712. The fax phone numbers for the organization where this application or proceeding is assigned are 703-746-7239 for regular communications and 703-746-7238 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-7579.

Charles Allen Harknes
Examiner
Art Unit 2183
January 2, 2003

EDDIE CHAN
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100